

GENERAL TERMS & CONDITIONS

- All primary dealer transactions are subject to the Customer Charter available in the Central Bank of Sri Lanka (CBSL) website www.cbsl.gov.lk
- Investor shall agree to abide by the CBSL and Commercial Bank of Ceylon PLC (The Bank) rules and regulations already in force and that shall be enforced in future.
- All transactions on Government Securities shall be dealt by the Bank in accordance with the terms and conditions of the Master Repurchase Agreement (MRA) entered into with the Investor.
- Facilities are made available by the Public Debt Department of the CBSL to receive periodic statements of their Government Securities account in electronic form or through the postal system.
- Investor shall provide all documents and other information required by the Bank/ regulator for the purposes of engaging in Government Securities transactions.
- Bank shall keep information relating to the Investor as confidential. However, the Bank may disclose such information if the Investor consents to or if any such information is required to be disclosed by any statute or by any Court of Law.
- Investor agrees to indemnify the Bank from any loss or liability arising due to carrying out any instruction provided by the Investor.
- Investor agrees to provide instructions pertaining to maturity proceeds at least **seven (07) calendar days** prior to maturity. In the event of such instruction not being received, the Bank may reinvest such proceeds for a period not exceeding the period of the earlier transaction, in accordance with the Customer Charter.
- Investor shall immediately notify the Bank of any changes to existing operating instructions along with required supporting documentation.
- Investor shall pay applicable fees & charges if any published by the Bank from time to time.
- Investor shall inform Primary Dealer Unit of the Bank of any discrepancy on a transaction relating to investments within **14 working days** of such transaction.

OFFICE USE ONLY

We confirm having obtained following documents

- Know Your Customer form/s
- Master Repurchase Agreement
- Certified copy of the Articles of Association
- Certified copy of Certificate of Incorporation
- Board Resolution authorizing investments in Government Securities and naming authorised signatories
- Certified copy of Form 01, 05 or 20
- Certified copy of Fund Manager Agreement (if applicable)
- Certified copy of Custodian Agreement (if applicable)
- Copy of Rules/ By-laws/Constitution of the Society/ Club/ Association duly certified by the President and the Secretary
- Certified copy of the Resolution regarding opening of the account and its operation
- Certified copy of the Minutes of the meeting/s at which the present office bearers were elected

REMARKS (IF ANY)

Funds confirmed and Signature verified

Authorized Signatory /Branch Manager (Signature No _____)